

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
MP/143Total Pages in this Submission  
34**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SURFACE MODIFIED EXPANDED POLYTETRAFLUOROETHYLENE DEVICES AND METHODS OF PRODUCING THE SAME**

and invented by:

**JAMES TODD WALTER**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

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☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 17 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*

- a. ☐ Formal                      Number of Sheets \_\_\_\_\_
- b. ☒ Informal                      Number of Sheets 17

4. ☒ Oath or Declaration

- a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Computer Program in Microfiche *(Appendix)*

7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*

9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

☐ First Class      ☒ Express Mail *(Specify Label No.):* EL369197138US

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

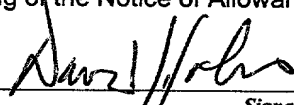
16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	11	- 20 =	0	x \$22.00	\$0.00
Indep. Claims	4	- 3 =	1	x \$82.00	82
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$790.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$872.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 07-1729 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$872.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Dated: August 5, 1999

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cc:

UNITED STATES PATENT APPLICATION

OF

JAMES TODD WALTERS

FOR

SURFACE MODIFIED EXPANDED POLYTETRAFLUOROETHYLENE  
DEVICES AND METHODS OF PRODUCING THE SAME

## TITLE OF THE INVENTION

### SURFACE MODIFIED EXPANDED POLYTETRAFLUOROETHYLENE DEVICES AND METHODS OF PRODUCING THE SAME

5

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates to a surface modified expanded polytetrafluoroethylene material, and methods used to produce it.

10

### 2. Description of Related Art

Expanded polytetrafluoroethylene (PTFE) products are widely employed today in a variety of devices, including filters, fabrics, implantable sheets, and vascular grafts. Expanded PTFE is formed by heating and rapidly expanding a PTFE precursor material to form a microstructure of polymeric nodes interconnected by polymeric fibrils with microscopic void spaces therebetween. Expanded PTFE can be formed in the manner described in United States Patents 3,953,566, 3,962,153, 4,096,227, and 4,187,390, all incorporated by reference.

15

The relative dimensions of the node and fibrils can be tailored to affect both the porosity and surface properties of expanded PTFE. For example, a highly porous surface can result from the creation of long, widely spaced, substantially parallel fibrils. A highly porous surface is often beneficial in certain applications. For example, a high degree of porosity may enhance lamination bond strength or improve certain filter performance properties. In specific medical applications, more open structure may be beneficial since the structure can encourage tissue ingrowth and attachment. On a flat sheet, however, there is a limit to the amount of ingrowth structure that can be achieved through only manipulation of nodes and fibrils during expansion. To further enhance tissue ingrowth beyond that of a highly porous surface, a roughened or textured surface is believed to be required. Thus, in medical applications requiring rapid tissue ingrowth, an ideal expanded PTFE surface may have both a high degree of porosity and further surface modification to provide some degree of macroscopic texturing.

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In addition to enhancing the rate of tissue attachment, a textured surface may be desirable in other applications, for instance to enhance bond strength, abrasion, heat transfer, optical, or other properties. Increased roughness may also be desirable to increase surface friction, flow turbulence, sound abatement, or exposed surface area.

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Various methods of altering the surface properties of expanded PTFE have been suggested in the past. For example, an expanded PTFE surface treatment process is taught in United States Patents 5,462,781 and 5,437,900 to Zukowski. Disclosed is a plasma treatment process that removes fibrils to a  
5 selected depth to leave freestanding nodal ridges. These freestanding nodes are easily bent or deflected due to the lack of supporting fibrils. Such a treated surface affects the hydrophobicity, bondability, and appearance, but may not necessarily elicit an optimum tissue response due to an excessively "soft" exposed surface.

10 United States Patents 4,550,447 and 4,647,416 to Seiler, Jr., et al., teach a PTFE surface treatment that creates full density PTFE ribs on the outer surface of an expanded PTFE tube. Although this process may increase macro-roughness by the producing stiff ridges, the ridges are unexpanded and are thus non-porous. Non porous unexpanded ridges are believed to be  
15 undesirable since they can achieve only minimal tissue attachment and ingrowth.

United States Patents 4,332,035 and 4,713,070 to Mano, teach an expanded PTFE process wherein differential heat is applied to opposing surfaces of a tubular wall. The process results in a randomly oriented ridge and  
20 valley texture. The ridges comprise node groupings having interconnecting fibrils. The valleys have long fibrils, which interconnect the node groupings. Although this process may increase surface "roughness" due to the relatively stiff ridges, the valleys remain soft due to the long interconnecting fibrils. Thus the valleys produced by the Mano process contribute little to the overall macro-  
25 roughness of the device and therefore probably do not enhance the rate of tissue attachment.

For specific applications, it is believed desirable to generate a highly porous expanded PTFE surface having optimum roughness or texturing. A ridge and valley surface texture can enhance the macro-roughness. However,  
30 it is believed desirable that ridges are both relatively stiff and at least somewhat porous. Similarly, it is desirable that the valleys remain porous yet provide some addition means to contribute to the macro-roughness of the device. The ridges and valleys would ideally have a pattern that could be controlled and tailored for specific applications.

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## **SUMMARY OF THE INVENTION**

The present invention is a process to alter expanded  
polytetrafluoroethylene (PTFE) surfaces to control surface properties and  
5 unique devices with surfaces so modified. The present invention produces  
articles having a high degree of surface roughness while being capable of  
maintaining a porous microstructure throughout.

The process of the present invention employs an unfocused laser beam  
to both alter and remove selected expanded PTFE fibrils and nodes, resulting in  
10 a ridge and valley texture. The ridges comprise clustered nodes that are  
internally supported by shortened interconnecting fibrils. This structure imparts  
both porosity and stiffness to the ridges. The valleys are formed by the  
substantial removal of nodes along with their interconnecting fibrils, resulting in  
a porous valley floor. However, the process also creates a series of distorted or  
15 gnarled nodes along the valley floor. These gnarled node structures, remaining  
in and projecting from the valleys, contribute to the surface "roughness" and  
texture of the valleys, but do not significantly compromise the porosity. Thus  
the modified surface has a texture that can be simultaneously macro-rough and  
micro-porous along both the ridges and valleys.

20 The process of the present invention can be used to generate  
repeatable, consistent textures onto an expanded PTFE surface after the base  
expanded PTFE material has been created. Thus common expanded PTFE  
materials can be subsequently processed into a variety of textures, each  
texture being optimized for a specific application. These and other aspects and  
25 advantages will become more apparent when considered with the following  
detailed description, drawings, and appended claims.

## **DESCRIPTION OF THE DRAWINGS**

30 The present invention may be better understood when considered in  
light of the attached figures, in which:

Figures 1A and 1B are enlarged partial side cross-section views of an  
expanded PTFE material, before and after the surface treatment taught by  
United States Patents 5,462,781 and 5,437,900 to Zukowski;

Figure 2 is an enlarged partial side cross-section view of the wall of an expanded PTFE tube, after processing as taught by United States Patents 4,550,447 and 4,647,416 to Seiler, Jr., et al.;

5 Figure 3A is an enlarged partial side cross-section view of an outer surface of a vascular graft, as taught by United States Patents 4,332,035 and 4,713,070 to Mano;

Figure 3B is an enlarged partial side cross-section view of an angular, coalesced node grouping, and angular node axes as taught by United States Patents 4,332,035 and 4,713,070 to Mano;

10 Figures 4A through 4F are enlarged partial side cross-section views of an expanded PTFE surface at various stages of alteration that are believed to be occurring during the process of the present invention;

Figure 5 is an enlarged partial side cross-section view detailing a gnarled node structure of the present invention;

15 Figure 6A is an enlarged partial side cross-section view further detailing a gnarled node structure of the present invention relative to one ridge;

Figures 6B and 6C are enlarged partial side cross-section views of irregular or uneven valley floors and corresponding methods of determining the relative height of an adjacent ridge.

20 Figure 6D is an enlarged partial cross-section side view of a gnarled node of the present invention illustrating its longitudinal axis, which defines the length of the node projecting from the valley floor;

Figure 7 is a top plan view of an expanded PTFE sheet of the present invention after being exposed to alternating rows of laser treatment of the present invention;

Figures 8A through 8I are top plan views of alternative patterns that may be employed with the present invention;

Figures 9A is a scanning electron micrographs (S.E.M.s), enlarged 1000X, top view of coalesced nodes along a ridge of the present invention;

30 Figure 9B is an S.E.M., enlarged 15X, top view of ridge, valley, and gnarled nodes of the present invention;

Figure 9C is an S.E.M., enlarged 100X, cross-section side view of a valley and gnarled nodes of the present invention;

35 Figure 9D is an S.E.M., enlarged 25X, cross-section side view of ridges, a valley, and gnarled nodes of the present invention; and



Figure 10 is a three-quarter isometric view of a surgical sheet modified in accordance with the present invention being implanted, the sheet having two surfaces treated by the process of the present invention.

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## **DETAILED DESCRIPTION OF THE INVENTION**

The present invention comprises a unique method for forming a unique material from an expanded polytetrafluoroethylene (PTFE) material. The present invention may be better understood through a review of previous PTFE surface treatment techniques, as are illustrated in Figures 1A through 3B and described below.

An expanded PTFE surface treatment process is taught in United States Patents 5,462,781 and 5,437,900 to Zukowski. Zukowski employs a plasma surface treatment process, in which the surface fibrils are removed to a selected depth to leave freestanding nodal ridges. The modification process taught by Zukowski results in freestanding nodes on its surface that maintain their untreated, vertical orientation. Figures 1 A and B depict cross-section views of an expanded PTFE material before and after, respectively, a Zukowski surface treatment. Shown in Figure 1A is an expanded PTFE surface 2 prior to the plasma treatment having typical nodes 4 interconnected by fibrils 6. Shown in Figure 1B is an expanded PTFE surface 8 after the plasma treatment having the same nodes 4 and fibrils 6 in its depth, but having a modified surface with freestanding nodes 10 and open valleys 12 between them. Surface fibrils have been removed by the plasma treatment to a selected depth. Although having the cross-sectional appearance of a "rough" or textured surface, the freestanding nodes 10 are in actuality easily bent or deflected due to the lack of interconnecting fibrils or other support structure. The resulting treated surface has a soft, felt-like texture. Such a treated surface affects the hydrophobicity, bondability, and appearance, but will not necessarily elicit an optimum tissue response due to the lack of true roughness.

United States Patents 4,550,447 and 4,647,416 to Seiler, Jr. et al., teach a PTFE surface treatment process using a laser to partially scribe circumferentially through the wall of an un-expanded PTFE tube. The tube is subsequently expanded, resulting in densified, unexpanded ribs on the outer surface of the tube. The stiff, densified ridges may act as circumferential rings to improve the radial strength and kink resistance of the tube. The resulting

wall cross section has a castellated ridge and valley appearance. Shown in Figure 2 is what is believed to be a typical cross-section view of the wall of an expanded PTFE tube after processing as taught by Seiler, Jr. et al. Shown are outer ridges 14, valleys 16, densified ridge portions 18, and internal expanded PTFE 20, having nodes 4 interconnected by fibrils 6. Although the macro-roughness has been increased by the presence of the stiff ridges 14, the ridges are unexpanded and are thus non-porous. This process results in non-porous unexpanded ridges that may compromise or eliminate any tissue attachment and ingrowth into the ridges.

United States Patents 4,332,035 and 4,713,070 to Mano teach an expanded PTFE treatment wherein differential heat is applied to opposing surfaces of a tubular wall. The process results in an alteration of the orientation of strength between the two walls. This difference in strength orientation increases the kink resistance and radial strength of the tube. Also altered by the Mano process is the surface texture of the tubular wall. It is believed that the process as taught by Mano was utilized in the production of commercially available vascular grafts. Results from an analysis of such a vascular graft are depicted in Figures 3 A and B.

Shown in Figure 3 A is a partial cross-section view of an outer surface of the vascular graft. Shown is the treated vascular graft 22, having a microstructure of nodes 4 interconnected by fibrils 6, ridges 14, and valleys 16. The ridges 14 are comprised of angular, coalesced node groupings or clusters 24. The valleys 16 have long fibrils 26, which interconnect the angular, coalesced node groupings 24. The ridges 14 are relatively stiff due to the interconnecting fibrils 28 present within the coalesced node clusters 24. The interconnecting fibrils 28 within the node clusters preserve a degree of porosity. Thus the node clusters typically have some porosity although less porosity than the valleys or untreated lower sections within the graft wall. Although the surface "roughness" has been increased due to the relatively stiff coalesced node clusters, the valleys remain soft due to the long interconnecting fibrils 26. Thus the valleys resulting from the Mano process are believed contribute little to the overall macro-roughness of the final device. The process as taught by Mano also results in a random, undefined pattern of ridges and valleys. Shown in Figure 3 B, is a partial cross-section view of an angular, coalesced node grouping 24 and angular node axes 30. As can be seen by following angular

node axis 30, the axis 30 of the coalesced node articulate or bend between approximately 0 and 90° along the axis length.

None of the above-described processes provides all the features that  
5 may be desired in an optimal surface-treated product. Although incorporating various desirable aspects, the known processes do not generate an optimized and ordered surface texture having a high degree of macro-roughness along with a high degree of porosity along both the ridges and the valleys. The process of the present invention provides such an enhanced surface.

10 Figures 4A through 4E show enlarged partial cross-section views of an expanded PTFE surface at sequential stages that are believed to occur during the process of the present invention. Precursor expanded PTFE material may take any of various forms, including material made in accordance with any of United States Patents 3,953,566, 3,962,153, 4,096,227, 4,187,390, and  
15 4,902,423, all incorporated by reference. Additionally, suitable precursor material is also commercially available from a number of sources in a wide variety of forms, including, for example, from W. L. Gore & Associates, Inc., Elkton, MD, under the trademarks GORE-TEX® and GORE-TEX® GR gasketing materials, and from W. L. Gore & Associates, Inc., Flagstaff, AZ,  
20 under the trademarks GORE-TEX®, DUALMESH®, and MYCROMESH® implantable patch materials. It is believed preferred that the nodes of the precursor material be oriented substantially perpendicular to the surface to be treated.

Figure 4A is a partial cross-section view of an initial, untreated  
25 expanded PTFE material 2 having a microstructure of nodes 4 interconnected by fibrils 6.

Figure 4B is a partial cross-section view of the expanded PTFE material 2 during the initial exposure to laser energy 32. The initial exposure to the laser energy results in elongated fibrils 34 and shortened fibrils 36. As shown in  
30 Figure 4C, continued exposure to the laser energy results in the removal of the surface portion of some nodes, along with the removal of the elongated fibrils. Thus a valley 40 is formed, along with a clustered, coalesced node structure 38, interconnected with fibrils 6. Disposed on the valley floor is the initial formation of a rough, gnarled node structure 42.

35 As shown in Figure 4D, continued application of the laser energy 32 results in the formation of a ridge 48 comprising coalesced nodes 46

interconnected by fibrils 6. Valleys 50 are formed having a microstructure of nodes 4 interconnected by fibrils 6 on valley floor 51. Irregularly shaped gnarled node structures 44 remain in the valleys 50.

Shown in Figure 4E is a partial cross-sectional view of an expanded  
5 PTFE surface after processing according to the present invention. Shown are ridges 48, valleys 50, coalesced node clusters 46, and the distorted, crooked, gnarled node structures 44.

Laser energy for the present invention should be generated by an  
10 unfocused laser beam delivering sufficient energy to the PTFE surface to cause PTFE surface alteration in the manner described. Specifically, it is preferred to use a CO<sub>2</sub> laser with a wavelength output of about 10.6 microns. Other lasers, such as Eximer, YAG, ruby, etc., may also be suitable for use with the present invention. It is preferred that the laser beam is "unfocused" so that energy is  
15 delivered over a wider path than a fully focused beam. The preferred beam width at contact with the surface is less than about 1 mm to 3 mm or more, with the most preferred width being between about 1.5 and 3 mm.

The amount of power delivered is a function of the speed of the laser beam's passage over the PTFE surface. For slow laser treatment, for instance  
20 at a movement of about 10 inches (25.4 cm) per minute, a low power laser down to about 20 Watts may be acceptable. For fast production applications, for instance at a movement of about 500 inches (1,270 cm) or more per second, a high powered laser up to about 1000 Watts or more may be required. As a balance between speed and power, laser wattage of about 350 Watts can  
25 treat at a rate of about 20 inches (50.8 cm) per second.

Similarly, the pulse duration and spacing of the laser beam delivery may also be adjusted. Laser settings may vary from a low of about 0.1 msec pulse duration at about 0.001 inch (0.254 mm) spacing, up to continuous delivery (for  
30 speeds above 200 inches (508 cm) per minute. Preferred delivery is about 1.5 msec pulse duration at about 0.005 inch (1.27 mm) spacing.

The characteristics and definition of a "node cluster" is further clarified by Figure 4F. Illustrated are valleys 50 and a ridge 48 that is primarily formed by a node cluster 46. The node cluster 46 comprises nodes 4 interconnected  
35 by fibrils 6. The nodes 4 within the node cluster 46 are interconnected with shortened fibrils 36 on the outer, or treated, surface and interconnected with

longer, untreated fibrils 6 in the lower portions of the material. The shortened fibril length causes the fibrils to bend, deflect together, and coalesce on the outer surface, as depicted in Figure 4F. The shortened fibrils 36 are at least 25% shorter than the deeper untreated fibrils 6. As the term “node cluster” is used herein, it is a grouping of at least 5 nodes, the nodes being significantly interconnected on the outer surface, with fibrils that are at least 25% shorter (and more preferably at least 50% shorter) than the interconnecting fibrils of the untreated lower surface.

The exact form the “gnarled nodes” take may vary considerably from structure to structure due to differences in expanded PTFE precursor material and the exact processing parameters employed. As such, “gnarled nodes” may be identified as one or more of a number of similar structures that are defined below with reference to Figures 5 and 6A through 6D.

The characteristics and definition of a “gnarled node structures” may first be clarified by reference to Figure 5. Shown in Figure 5 is a partial cross-section view of a gnarled node structure 44 having an angular or bent longitudinal axis 52. The angular or bent longitudinal axis 52 has a length that approximates the overall length of the gnarled node (that is, the entire length of the node extending into (and perhaps all the way through) the material (even beneath the floor of the valley)). The interconnecting fibrils 6 are absent along a substantial portion of the gnarled node length. A substantial portion of the gnarled node length is defined as more than 25% of the entire length of the gnarled node, and more preferably 50 to 75% or more of the entire length. The longitudinal axis 52 of the gnarled node also has at least two angular deflection points, or bends in the axis 54. An “angular deflection point” is defined as a bend or change of direction of at least 30 degrees of the node longitudinal axis. Thus a “gnarled node” can be identified as a node having at least two angular deflection points of at least 30 degrees along its longitudinal axis and being devoid of interconnecting fibrils along at least 25% of the entire node length.

Figure 6A provides a further description of a “gnarled node.” Shown in Figure 6 A is a partial cross-sectional view of a gnarled node structure 44 having an angular or bent longitudinal axis 52. Again, the angular or bent longitudinal axis 52 has a length that approximates the overall length of the gnarled node. The interconnecting fibrils 6 are absent along a length of the gnarled node. The portion of the gnarled node length that is devoid of interconnecting fibrils is as least as long as (and, as is described below, can be

even longer than) the approximate height 56 of an adjacent ridge. Again, the longitudinal axis 52 also has at least two angular deflection points or bends in the axis 54, of at least 30 degrees of the node longitudinal axis. Thus a gnarled node may also be identified as a node having at least two angular deflection points along its longitudinal axis, and the node being devoid of interconnecting fibrils along a length at least equal to the height of an adjacent ridge.

Surprisingly it has been determined that many gnarled nodes have a longitudinal axis length that is actually longer than the height of adjacent ridges. It is believed that this occurs as an artifact of the laser distortion process described in reference to Figures 4A through 4F, above, whereby the gnarled nodes are first stretched during laser treatment before breaking loose from the adjacent ridges to become freestanding. As a result, a gnarled node can be further identified as a node having a protruding (that is, exposed) length that is longer than the approximate height of an adjacent ridge 56 and the node being substantially devoid of fibrils along its protruding length.

The "approximate height of an adjacent ridge" is further clarified and defined by Figures 6B and 6C, which show valleys 50 having an irregular or uneven valley surface or floor. In these or similar configurations having uneven valley floors, the height of an adjacent ridge 56 may be approximated by establishing an average valley floor plane 57. The average valley floor plane 57 can be visually determined by enlarged visual inspection approximating a plane at a depth equal to the average height of the valley floor nodes, which do not include gnarled nodes, as illustrated in Figures 6B and 6C.

Shown in Figure 6D are a ridge 48, a valley 50, an average valley floor plane 57, and a gnarled node 44. The gnarled node 44 has a partial longitudinal axis 58 that transverses the portion of the gnarled node protruding from the average valley floor plane 57. Thus, the length of the axis 58 defines the protruding length of the gnarled node measured from the average valley floor plane 57. Again, the axis 58 has angular deflection points 54, as previously defined. Accordingly, a gnarled node may also be determined as a node having at least two angular deflection points along its longitudinal axis, and the node being substantially devoid of interconnecting fibrils along its protruding length.

Groupings of adjacent gnarled nodes may have the additional attribute of being twisted, entwined, and/or interlocked together. This interaction of

adjacent gnarled nodes can add additional degrees of stiffness, macro-roughness, and texturing to a small cluster or grouping of gnarled nodes.

By way of example, in a preferred embodiment of the present invention, GORE-TEX® Soft Tissue Patch, available from W. L. Gore and Associates,

5 Inc., Flagstaff, AZ, is used as an expanded PTFE precursor material for the process of the present invention. The expanded PTFE patch material is initially placed onto a fixture having vertical pins located along the periphery of the patch material to be treated. The patch material is forced over and punctured by the periphery pins on the holding fixture. The patch material is thus  
10 constrained from significant contraction and is maintained in an essentially planer state. The fixture and patch are then located onto a laser.

A preferred laser is an 80 watt CO2 laser, procured from Laser Machining, Inc., 500 Laser Drive, Somerset, WI, 54025, Model number C-42. Such a laser has a directed energy, or laser beam output. The approximate,  
15 preferred process parameters are set as follows: output power of 70 watts, cutting head vacuum of 30 mbar, pulse spacing of 0.13mm (0.005") and pulse duration of 1.5 milliseconds. The focusing, or final lens set, is removed, resulting in an "unfocused" laser beam having an approximate diameter of about 2.5 mm (0.1"). The laser beam is still highly collimated and the removal  
20 of the focusing lenses eliminates the highly focused, convergence of the beam, which is normally used in cutting or welding applications.

The approximate distance between the laser beam turn-down mirror and the sheet being treated is determined by the specific laser used and in a preferred case is about 40 cm. A specific pattern is then used to expose the  
25 constrained patch material to the laser beam. As is explained in greater detail below, the exact pattern to be applied may be take a variety of forms. One possible exposure pattern is a serpentine path having a pattern center to center spacing of approximately 3.8 mm (0.15") and table motion speed of approximately 2.5 m/min (100"/min.). One serpentine path comprises  
30 essentially parallel, straight-line segments, over the patch area to be treated and 180° turns, of approximate 3.8 mm (0.15") diameter, occurring outside of the patch area to be treated. The laser is cycled off during the 180° turns. Thus the patch material is exposed to a laser energy pattern of essentially straight, parallel repeating lines. The resulting expanded PTFE treated surface  
35 has a linear striping ("ribbed") appearance.

The process of the present invention can be employed to establish ridge and valley structures of a wide variety of shapes and dimensions. In the example above, the final ribbed structure has a ridge height (or valley depth) of about 0.3 mm (0.01 inch) and a centerline to centerline distance between ridges of about 3.8 mm (0.15 inch). For implantable patch material in most cases, it is believed to be generally preferred to have ribbed material dimensions with a ridge height of about 0.1 to 1 mm (0.004 to 0.04 inch) and a centerline to centerline distance between ridges of about 0.2 to 13 mm (0.01 to 0.5 inch).

Shown in Figure 7 is a typical top surface view of an expanded PTFE sheet after being exposed to a treatment of the present invention. Shown are ridges 48, valleys 50, and angular clusters of gnarled nodes 60. The laser exposure essentially occurred over the valleys, parallel to the vertical axis 62. Present on, and projecting from, the valley floors are clusters of gnarled nodes 60, oriented approximately 45 degrees to the vertical axis 62. The 45° orientation of the valley node clusters renders a “herringbone” appearance to the treated surface. This angular orientation of the gnarled node clusters also imparts a “directionality” to specific physical properties of the treated surface. For example, the static and sliding friction can vary depending upon the direction of relative motion between the treated sheet and another material. For example, coefficients of friction will vary if a material is moved “along with” or “against” the direction of the herringbone pattern, as depicted by axis 62. The coefficients of friction will also be affected if the relative motion is along axis 64 compared to axis 62. Thus different coefficients of friction can be derived depending upon the directions of relative motion.

Other physical properties having a “directionality” relative to the treated surface, or being affected by the treated surface, may include, but are not limited to, liquid roll-off angle, flow turbulence or resistance, sound reflection or abatement, abrasion, ablation, bond peel strength, mass transfer and heat transfer. In addition the absorption, reflectance or transmission of electromagnetic energy, including the visible spectrum, can be altered or have “directionality” imparted to, by the surface treatment of the present invention. The laser treatment process parameters can be manipulated to produce other patterns and textures. The focusing lenses may be replaced and the process of the present invention can be used to generate finely detailed, small geometry patterns.



Shown in Figures 8A through 8I, are various patterns that may be used to treat surfaces according to the present invention. Shown in Figure 8A is a serpentine laser pattern 70 and a typical surface outline 72. Shown in Figures 8B through 8I are alternate examples of possible patterns. Shown in Figures 8B through 8E are serpentine, wave-like and two axes crossing patterns 70 used to generate specific textures or patterns. A mask can be used to expose and generate a wide variety of patterns. For example, a stainless steel mask, having an array of circular holes, can be placed over the sheet material prior to laser exposure, generating a pattern as shown in Figure 8F. Alternately, shaped masking holes can generate patterns such as depicted in Figure 8G. Exposure patterns can also include alpha and numeric symbols for lot numbers, part numbers, dates, etc., such as depicted in Figure 8H. In addition, symbols, such as trademarks, logos, etc., can be generated and textured onto a surface, as shown in Figure 8I. Multiple patterns of exposure can be utilized, for example the pattern of Figure 8B can be superimposed onto the pattern of Figure 8F.

The process of the present invention is not limited to planer surfaces. The unfocused laser beam is relatively insensitive to the distance between the laser turn-down mirror and the surface being treated, therefore the laser has a long "depth of field" along the vertical axis. The process of the present invention is therefore well suited for the treatment of highly irregular, three-dimensional surfaces. By the incorporation of additional controlled motion axes, forms such as tubes, rods or items with polygon shaped cross-sections can be treated using the process of the present invention.

Figures 9 A through D are scanning electron microscope (S.E.M.) photographs, showing various expanded PTFE surfaces treated by the process of the present invention. These S.E.M.s illustrate the ridges 48, valleys 50, coalesced nodes 46, fibrils 6, and gnarled nodes 44 of the present invention.

Shown in Figure 10, is an implantable patch or sheet 80 being placed in a sub-dermal location in a patient during a tissue repair procedure. Shown is tissue 82, tissue retractors 84, suture needle 86, and suture 88. The outside (or dermal) surface 90 of the repair patch 80 has been treated by the process of the present invention. The opposing surface 92 has also been treated by the process of the present invention. The treated surfaces 90, 92 have both a highly porous node and fibril microstructure along with a high degree of texturing or roughness. The treated surfaces thus encourage and promote

rapid tissue ingrowth and attachment, which anchors and secures the repair sheet 80 to the tissue 82. The ingrowth therefore enhances the attachment strength of the repair sheet to the tissue, beyond that of the sutures alone.

5           It should be evident from the above description that the present invention has a wide variety of possible uses in numerous applications, including in medical, industrial, electronic, and consumer products. Other changes and modifications of the present invention may be incorporated without departing from its intent. For instance, although microporosity can be  
10 maintained in the process of the present invention, it may be desirable in certain applications to partially or completely fill the microporous structure to provide other desirable features (such as liquid impermeability).

          While particular embodiments of the present invention have been  
15 illustrated and described herein, the present invention should not be limited to such illustrations and descriptions. It should be apparent that changes and modifications may be incorporated and embodied as part of the present invention within the scope of the following claims.

What is claimed is:

- 1           1. A material comprising:  
2                 PTFE having a node and fibril microstructure; and  
3                 a surface having a number of node clusters and gnarled nodes  
4                 situated between the node clusters.
- 1           2. A material comprising:  
2                 PTFE having a node and fibril microstructure;  
3                 a textured pattern having multiple ridges and valley surfaces;  
4                 the ridges comprised of node clusters; and  
5                 the valley surfaces having gnarled nodes protruding therefrom.
- 1           3. A material according to claim 2 wherein  
2                 at least one gnarled node has a protruding length measured from  
3                 a valley surface;  
4                 the gnarled node is adjacent to a ridge having a height;  
5                 the gnarled node protruding length is greater than the height of  
6                 the adjacent ridge; and  
7                 the gnarled node is substantially devoid of fibrils along the  
8                 protruding length.
- 1           4. A material according to claim 2 wherein  
2                 a gnarled node has a longitudinal axis and a protruding length  
3                 measured from the valley surface;  
4                 the longitudinal axis has at least two angular deflection points;  
5                 and  
6                 the gnarled node is substantially devoid of fibrils along the  
7                 protruding length.
- 1           5. A material according to claim 2 wherein the multiple ridges are  
2                 substantially parallel to each other.
- 1           6. A material according to claim 2 wherein  
2                 the material is a sheet having two opposing surfaces; and  
3                 one opposing surface has multiple ridges and valleys.
- 1           7. A material according to claim 2 wherein  
2                 the material is a sheet having two opposing surfaces; and  
3                 two opposing surfaces have multiple ridges and valleys.
- 1           8. A process for modifying a material surface, comprising the steps of:  
2                 a)       providing an expanded PTFE material having a surface  
3                 comprised of nodes and fibrils, the fibrils having lengths; and

4                   b)       exposing the expanded PTFE surface to a laser beam to  
5 remove nodes and alter fibril lengths to form node clusters and gnarled nodes.

1           9. An article having at least one surface treated in accordance with the  
2 process of claim 8.

1           10. A material comprising:

2                   at least one node having a protruding length measured from a  
3 valley surface;

4                   the at least one node being adjacent to a ridge having a height;  
5 and

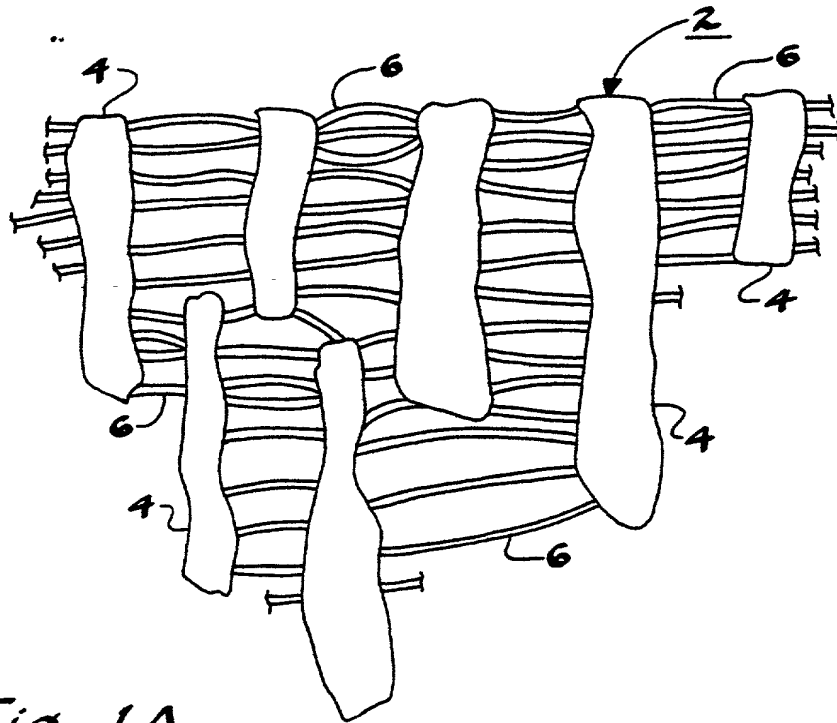
6                   the node protruding length being greater than the height of the  
7 adjacent ridge.

1           11. A material according to claim 10 wherein

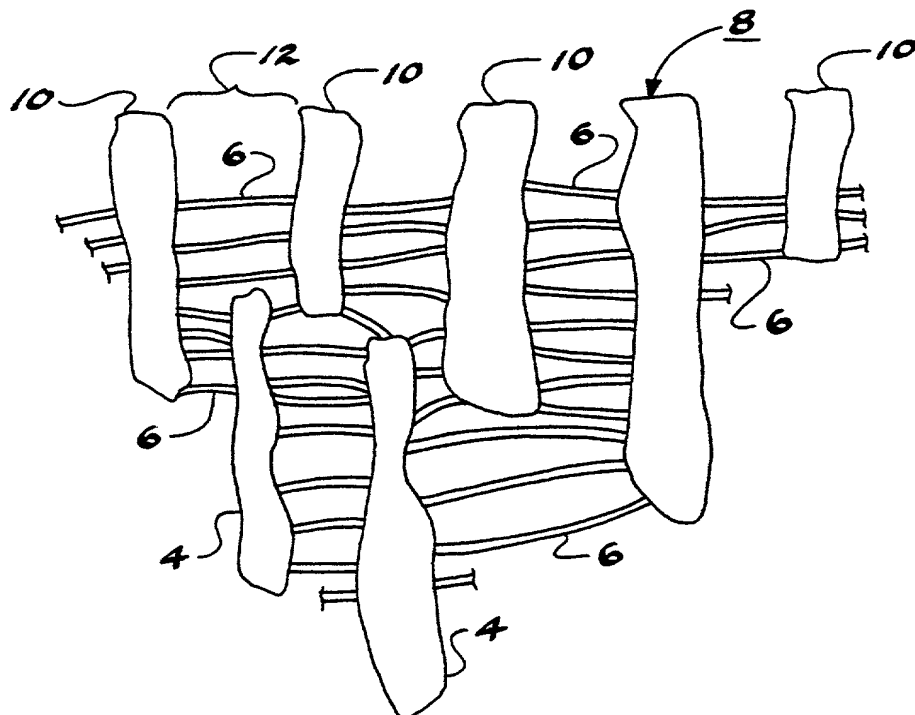
2                   the at least one node is substantially devoid of fibrils along the  
3 protruding length.

## **ABSTRACT OF THE INVENTION**

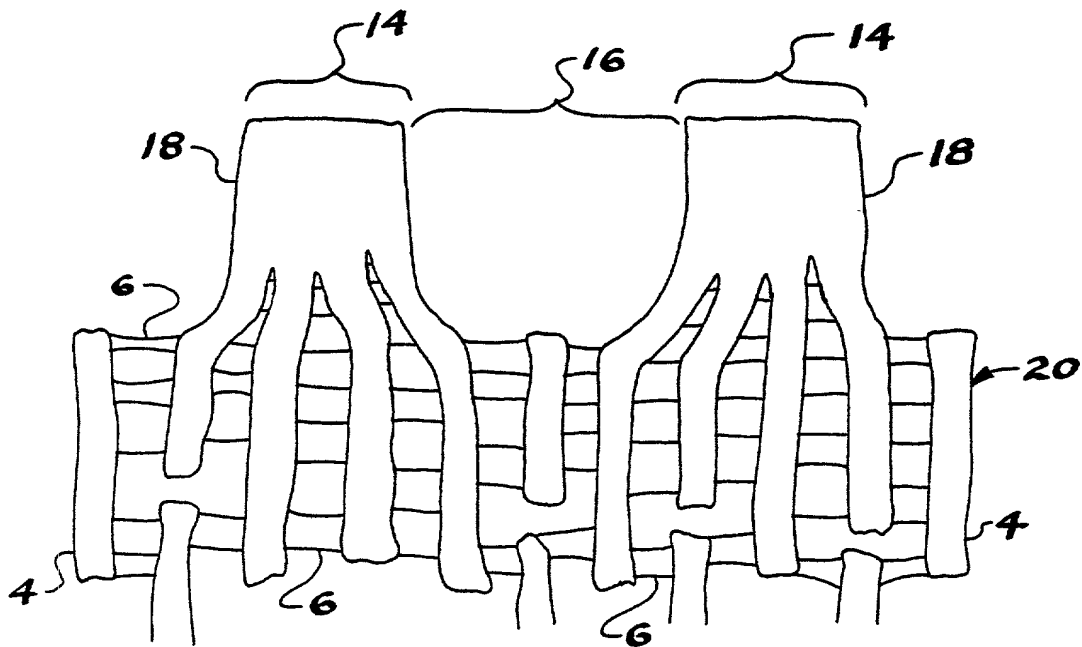
Improved processes for surface treating expanded polytetrafluoroethylene (PTFE) is disclosed and improved surface-treated devices made from the processes. The processes employ a laser to surface-  
5 modify an expanded PTFE structure to create a macro-roughened surface that has the capability to remain microporous throughout. The unique process of the present invention creates a ridge and valley structure on the surfaces of devices that includes unique gnarled nodes along valley floors.



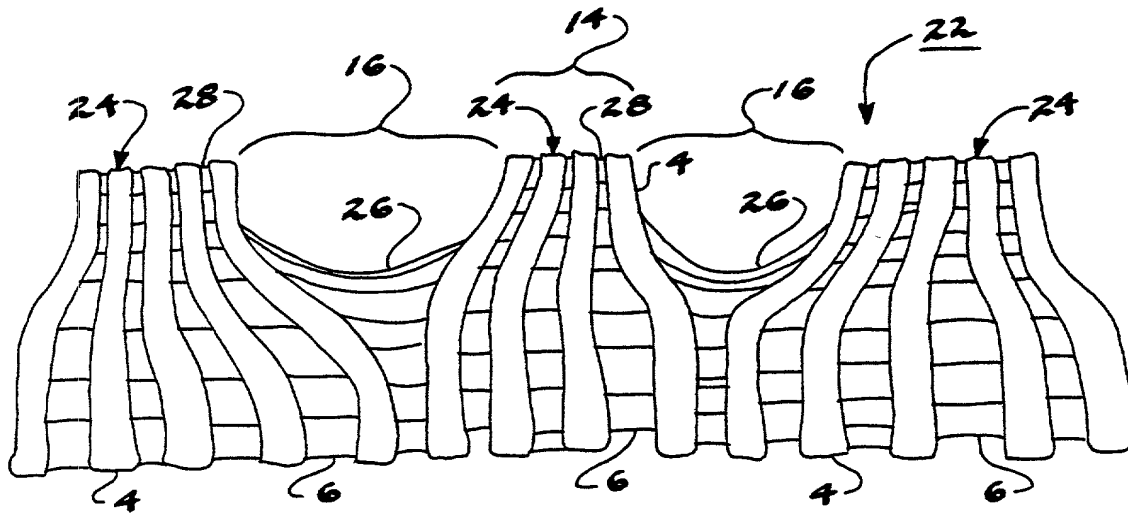
*Fig. 1A*  
(Prior Art)



*Fig. 1B*  
(Prior Art)

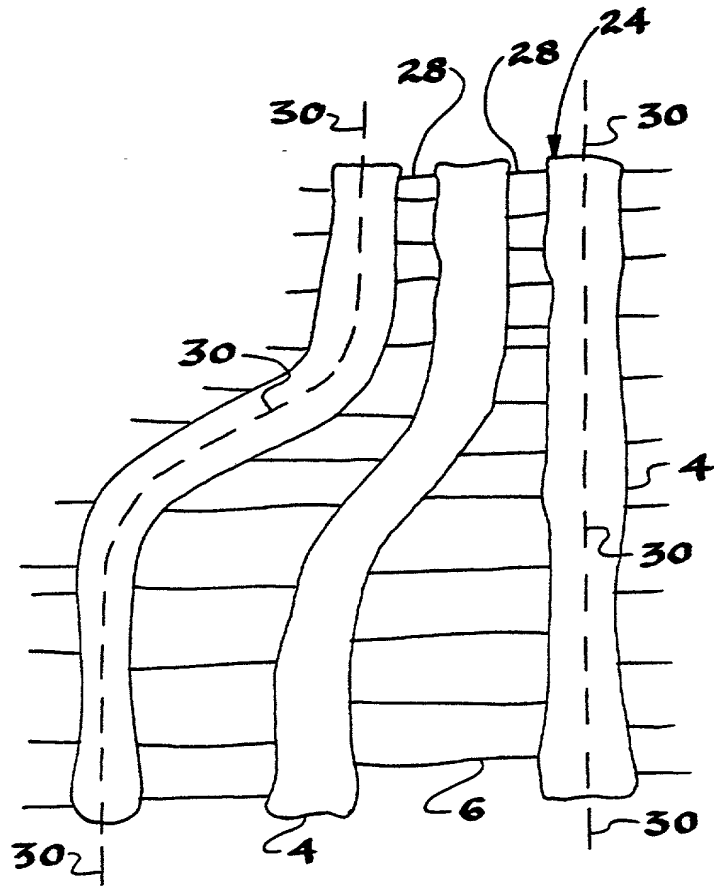


*Fig. 2*  
(Prior Art)



*Fig. 3A*  
(Prior Art)





*Fig. 3B*  
(Prior Art)

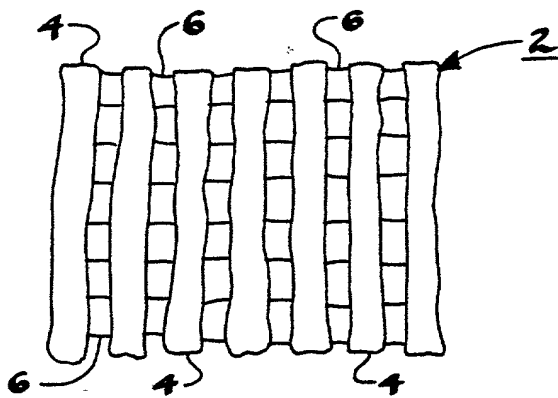


Fig. 4A

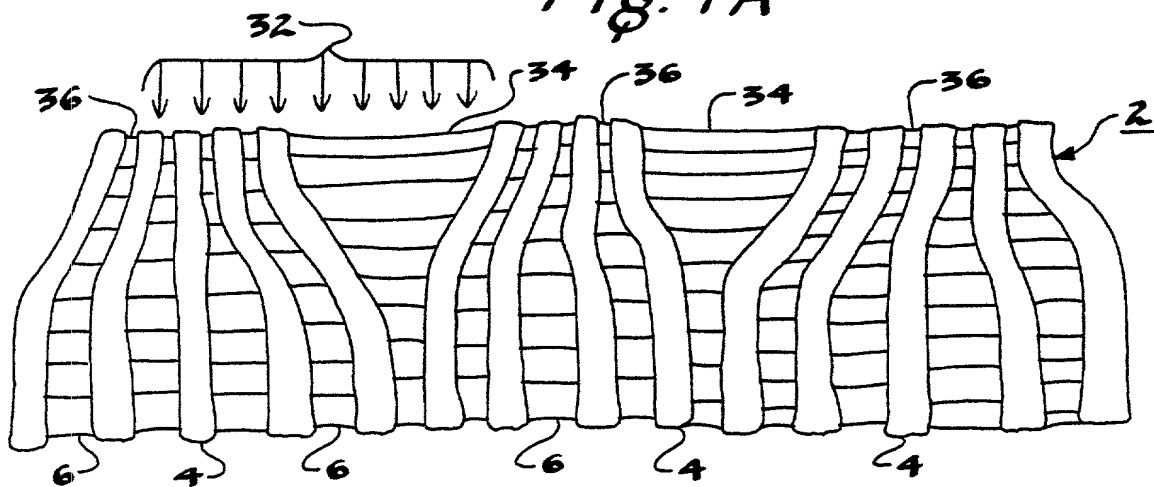


Fig. 4B

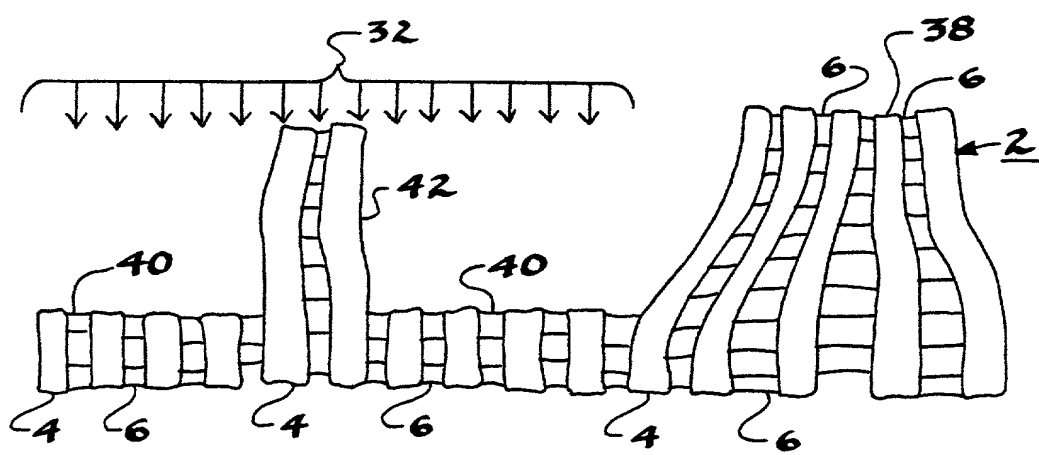


Fig. 4C

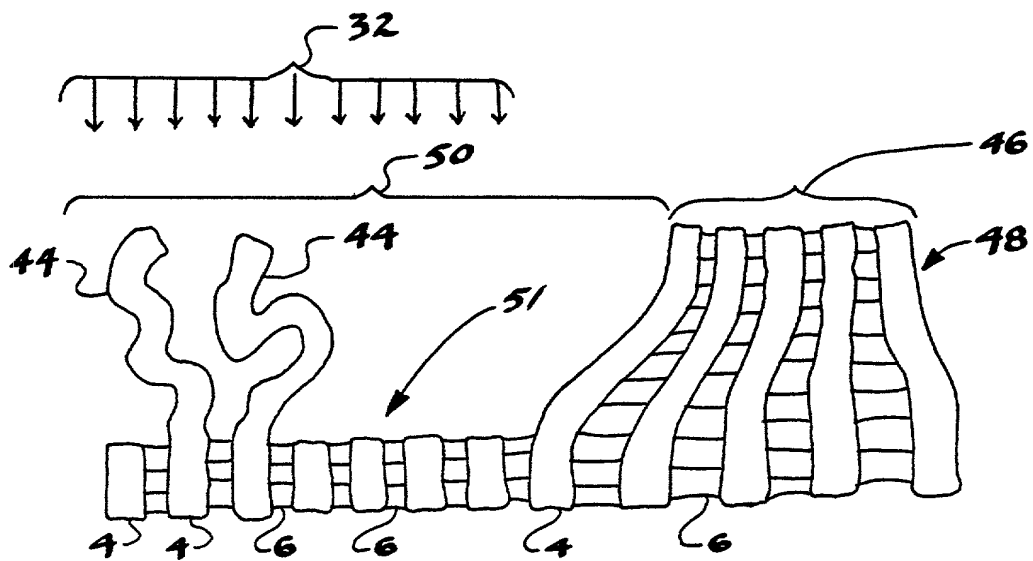


Fig. 4D

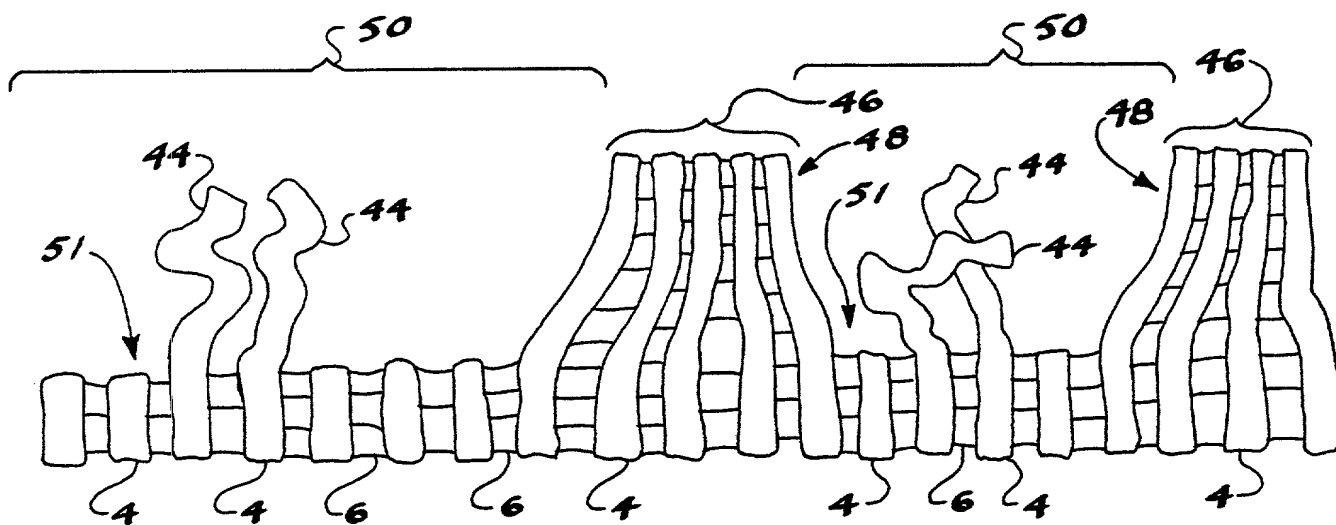


Fig. 4E

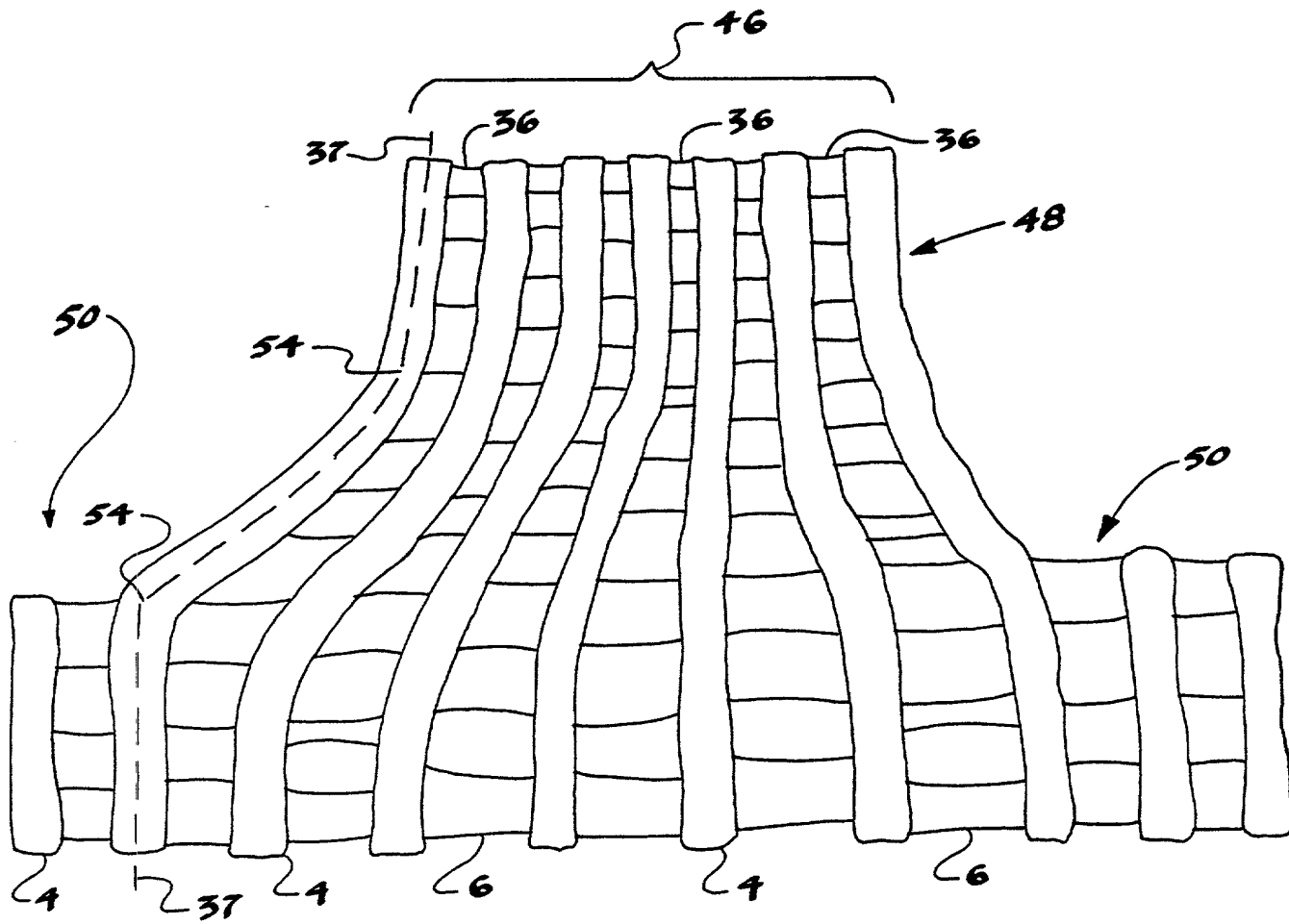


Fig. 4F

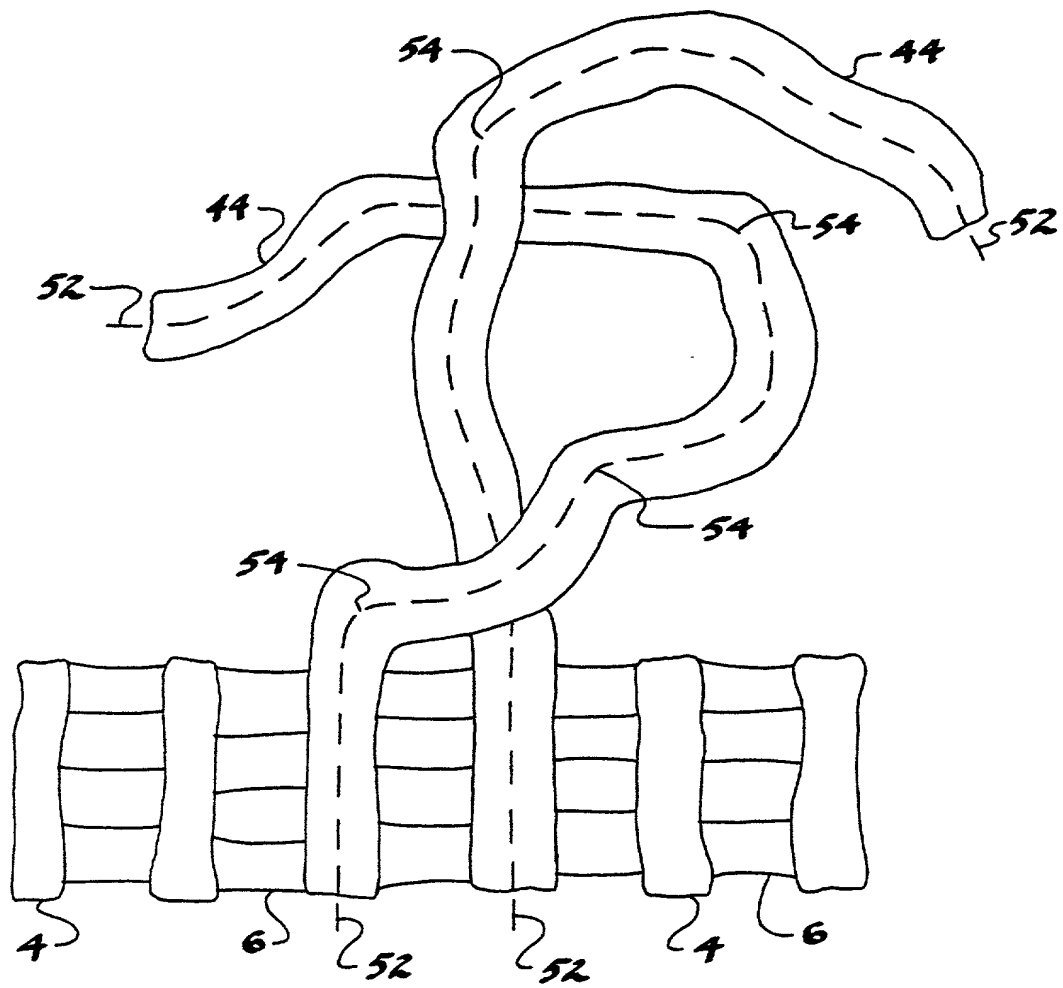


Fig. 5

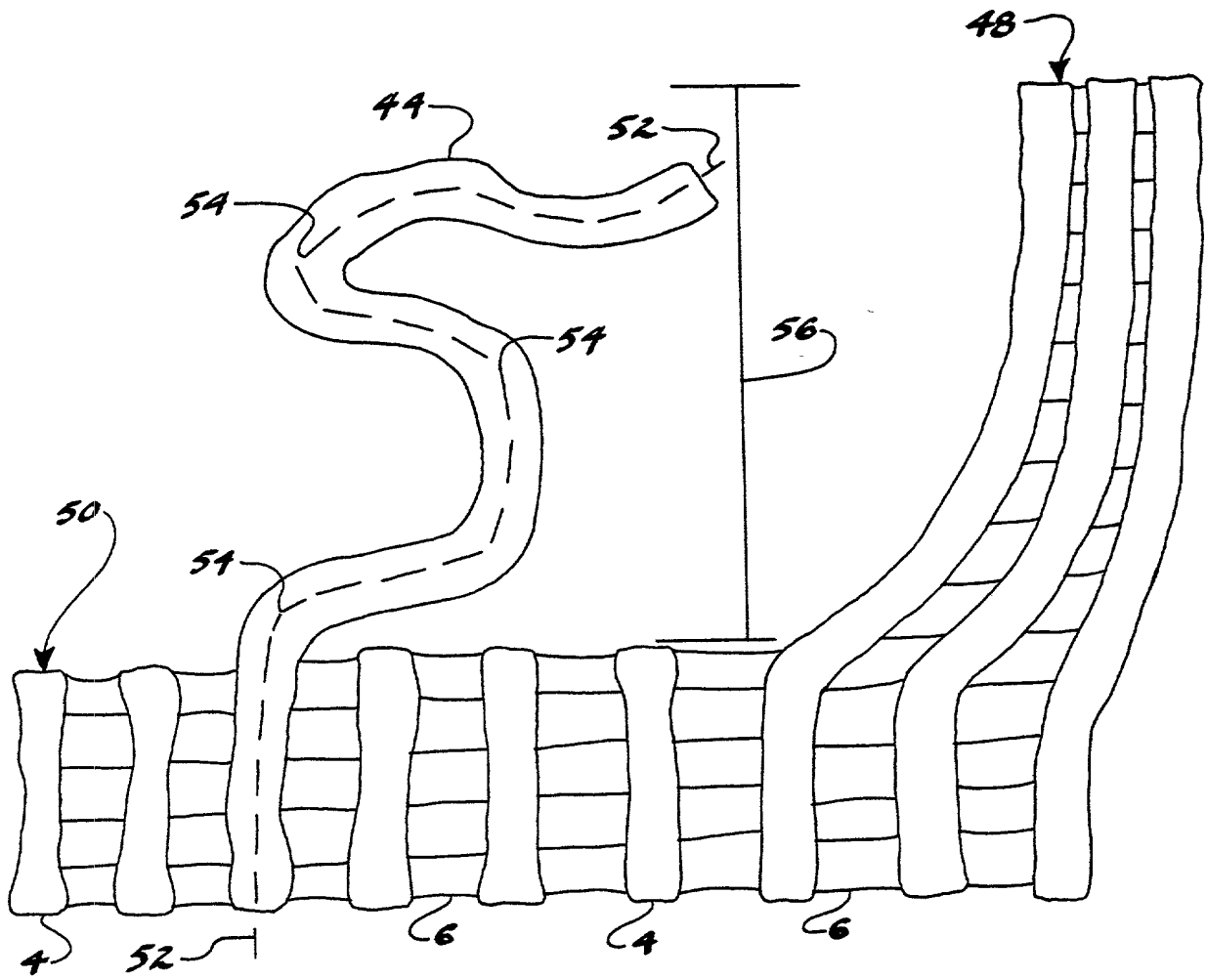


Fig. 6A

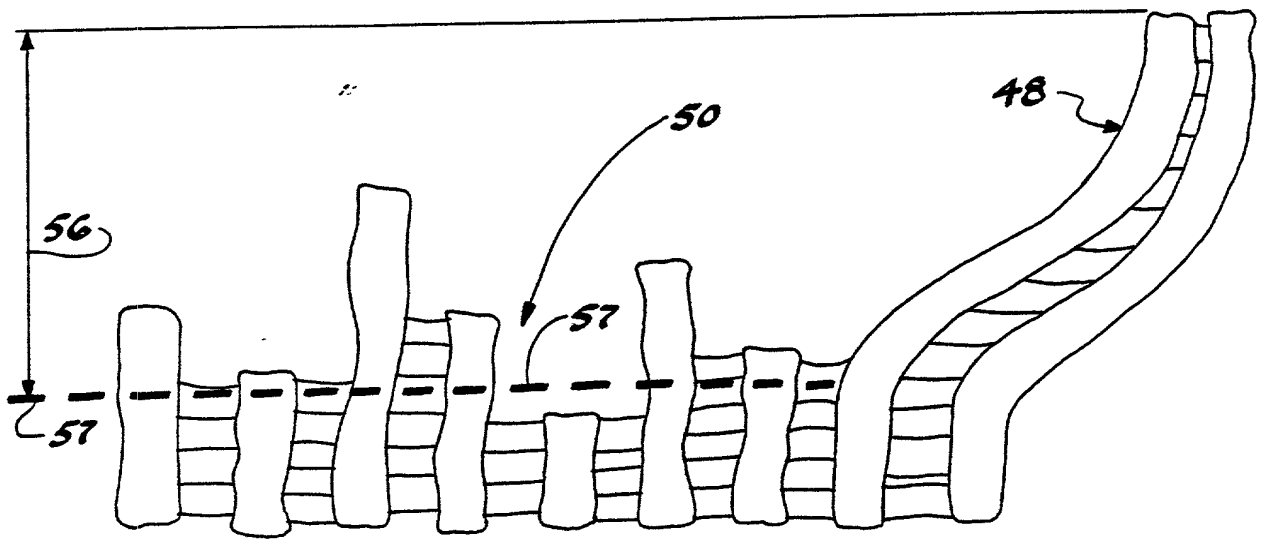


Fig. 6B

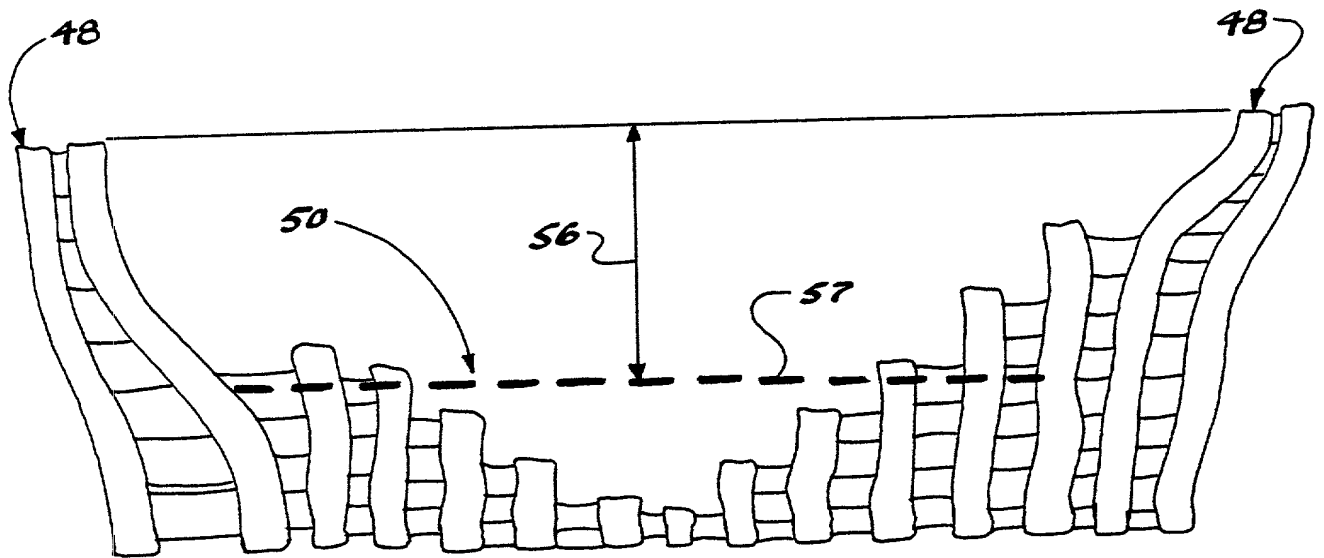


Fig. 6C

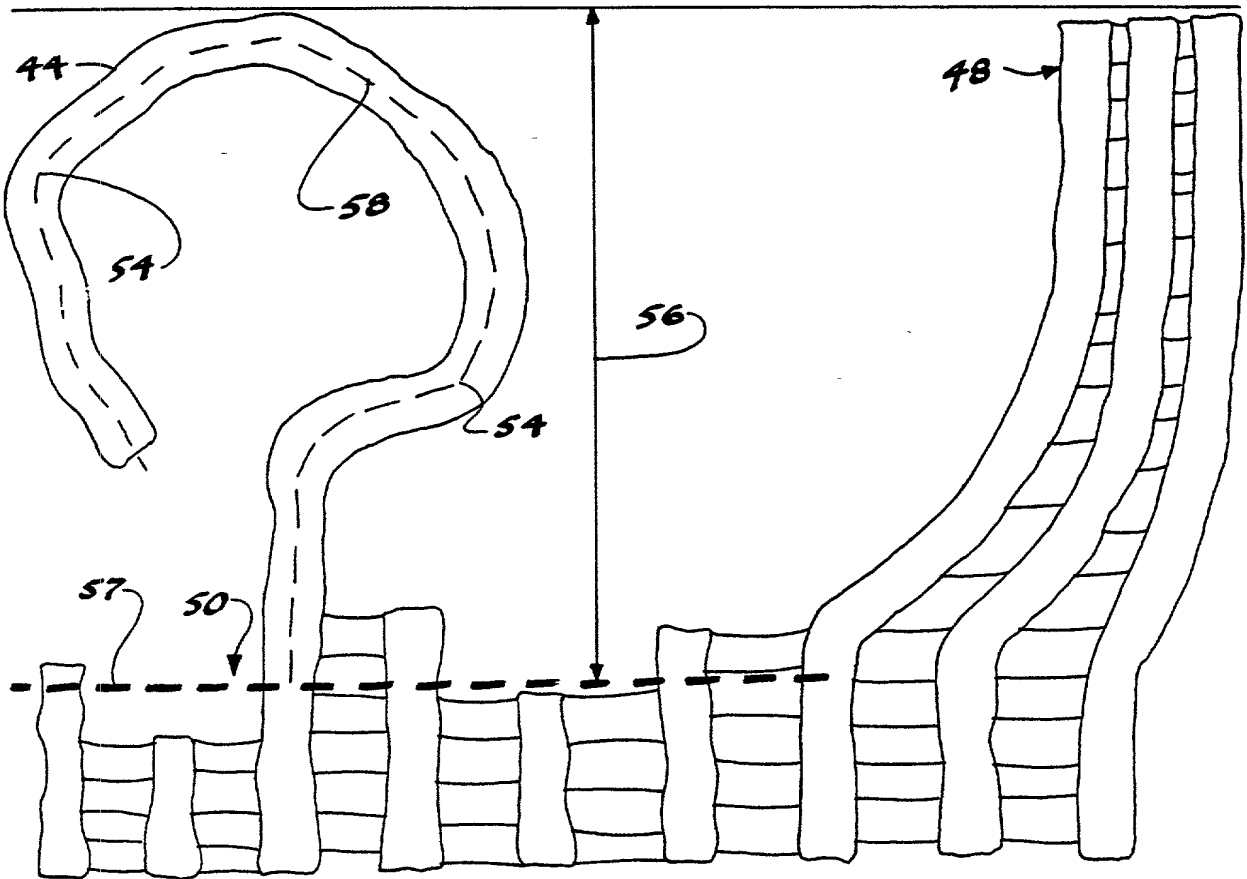


Fig. 6D



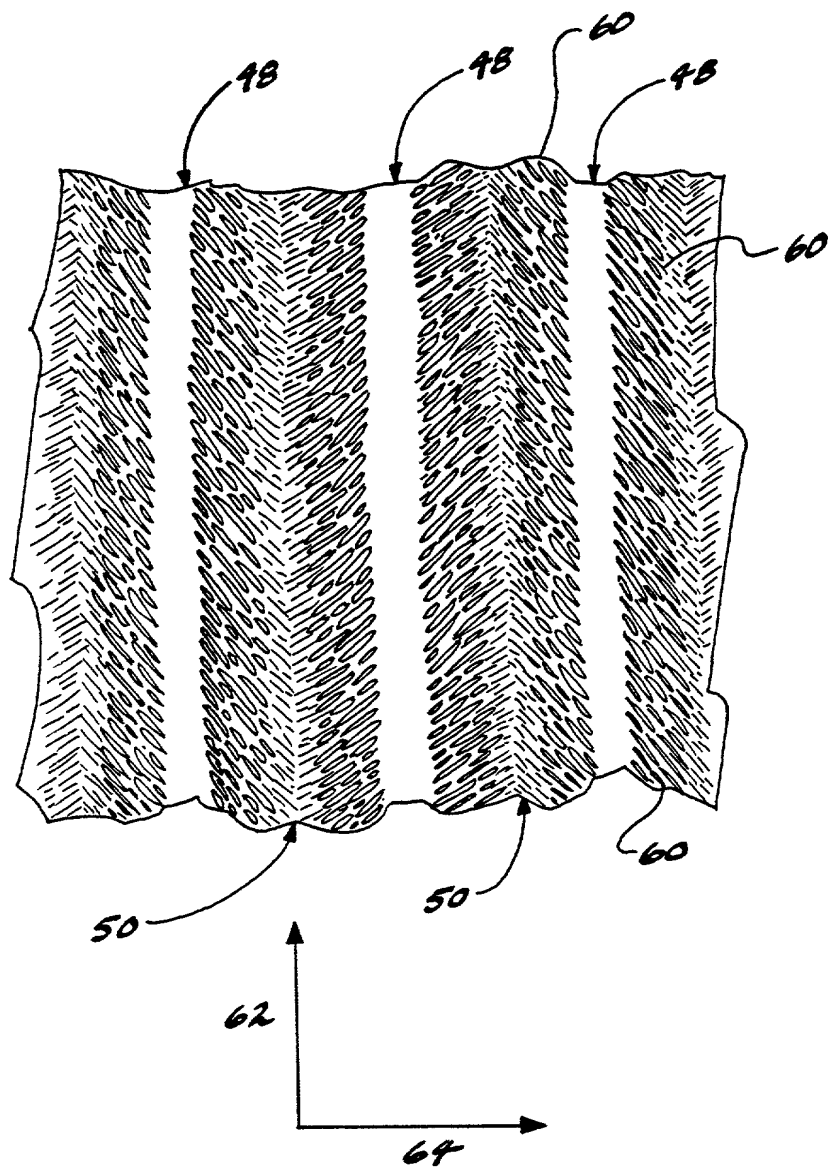
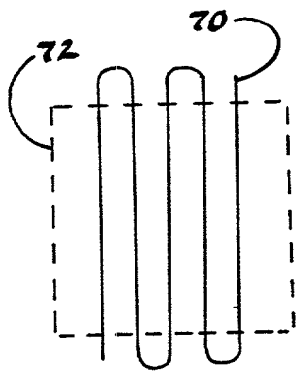
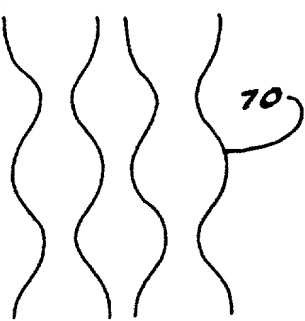


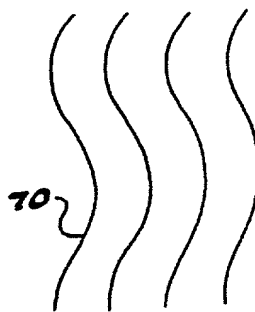
Fig. 7



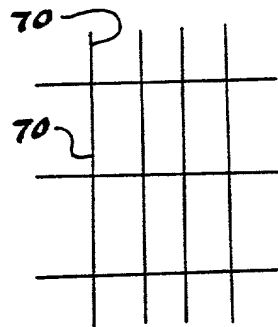
*Fig. 8A*



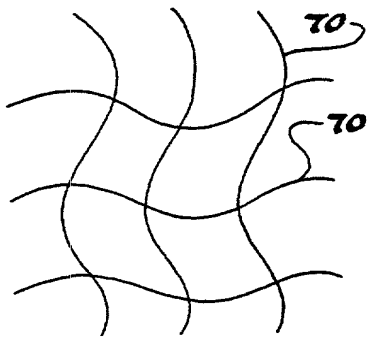
*Fig. 8B*



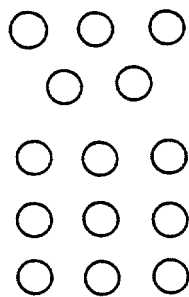
*Fig. 8C*



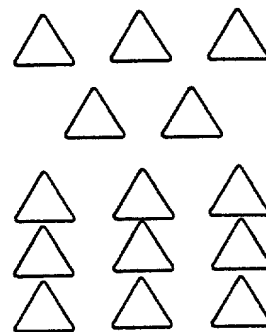
*Fig. 8D*



*Fig. 8E*



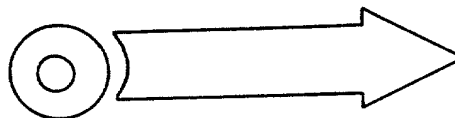
*Fig. 8F*



*Fig. 8G*

ABC24

*Fig. 8H*



*Fig. 8I*

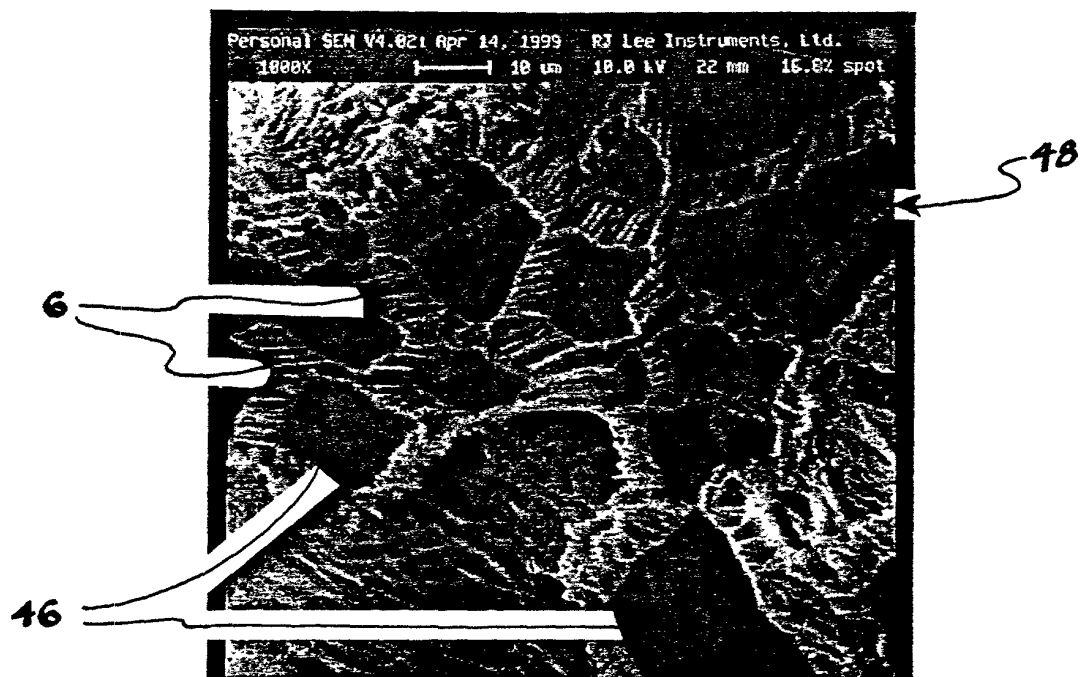


FIG. 9A

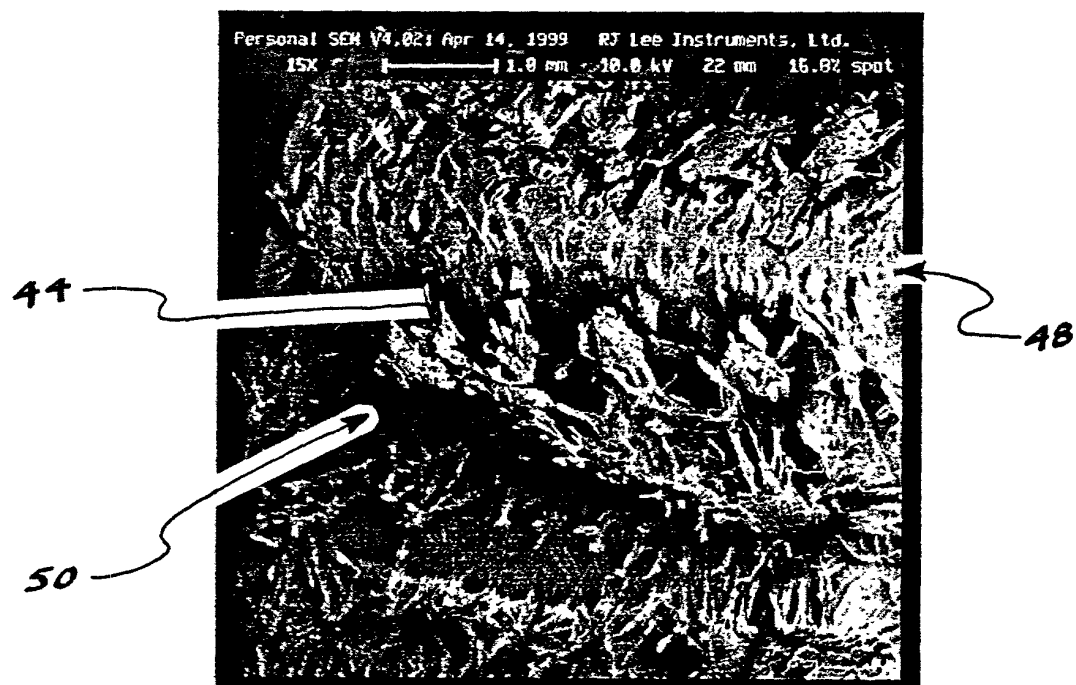


FIG. 9B

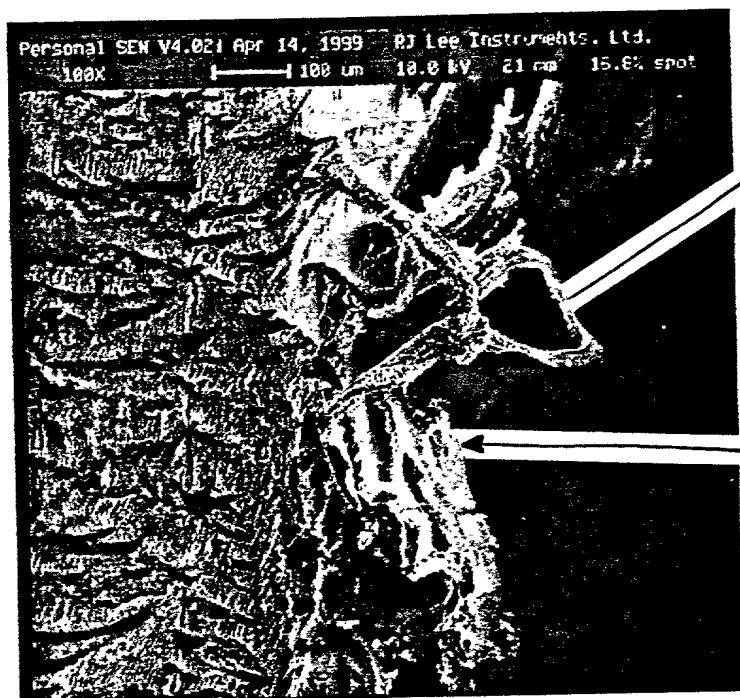


FIG. 9C

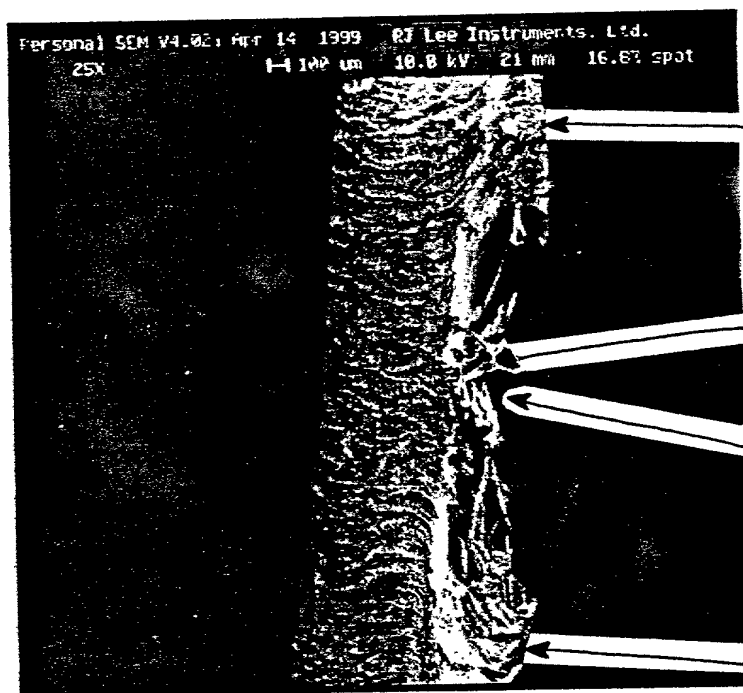


FIG. 9D

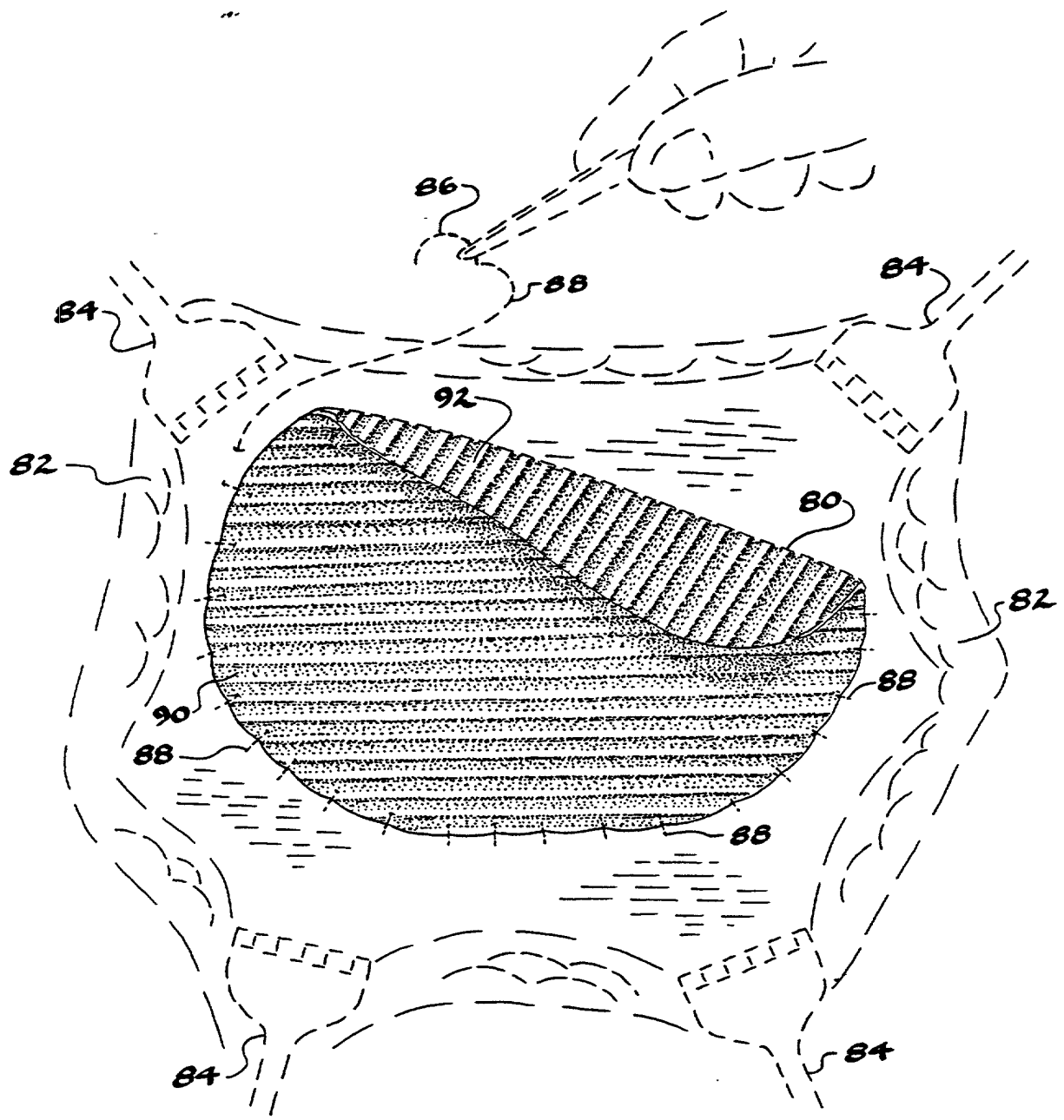


Fig. 10

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION  
ENGLISH LANGUAGE DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SURFACE MODIFIED EXPANDED POLYTETRAFLUOROETHYLENE DEVICES AND METHODS OF PRODUCING THE SAME the specification of which (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as  
application serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year filed) ☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year filed) ☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year filed) ☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial No.) (filing date) Status  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.) (filing date) Status  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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